Attorney Docket No. 003053.1C

Applicant:

Calvin F. QUATE et al.

Examiner:

TBD

Serial No:

(Unassigned)

Group Art Unit: TBD

Filing Date:

(Herewith)

Title: METHOD FOR DETECTING CHEMICAL INTERACTIONS BETWEEN

NATURALLY OCCURRING BIOLOGICAL ANALYTE MOLECULES (AS

AMENDED)

INFORMATION DISCLOSURE STATEMENT

RECEIVED

NOV 2 6 2002

Commissioner for Patents Washington, D.C. 20231

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Sir:

Pursuant to 37 CFR § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying form are called to the attention of the Examiner for the above patent application.

Citation of these documents shall not be construed as (1) an admission that the documents are necessarily prior art with respect to the instant invention; (2) a representation that a search has been made, other than as described above; or (3) an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

Included herewith are photocopies of references 'I' and 'V' - 'AD'. To avoid lengthy and unwieldy printed documents, the U.S. and Foreign Patent Publications cited on the accompanying form, i.e., references 'D' - 'H' and 'J' - 'N', are provided in Adobe Acrobat (.pdf) format on the accompanying CD-ROM (2 copies) per the accompanying petition under 37 CFR §§ 1.181, 1.182, or 1.183. Copies of references 'A' - 'C' and 'O' - 'U' are omitted, as these references were submitted and reviewed by the examiner during prosecution of the parent application, U.S. serial no. 09/097,675. If the examiner would like hard copies of any reference on the CD, or any reference not provided for the aforementioned reasons, please contact the undersigned and the reference(s) will be provided.

Applicant believes that <u>no fee is required</u> for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 01-0431. Please deduct any additional fees from, or credit any overpayment to the Deposit Account of Affymetrix, Inc., number 01-0431.

PATENT Attorney Docket No. 003053.1C

Dated: 1-29-02

Respectfully submitted,

Philip L. McGarrigle Reg. No. 31,395

Legal Department Affymetrix, Inc. 3380 Central Expressway Santa Clara, CA 95051

Tel: 408/731-5021 Fax: 408/731-5392

PTO/SB/08A (10-01)

Approved se through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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(use as many sheets as necessary)

Examiner Name TBD Sheet of Attorney Docket Number 3053.1C

Examiner Initials *	Cite No.1	Cite Document Number Publication Date	U.S. PATENT DOCUMEN	Name of Patentee or Applicant of	
			Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant	
	Α	US-5,372,930	12-13-1994	Colton et al.	Figures Appear
	В	US- 5,510,481	04-23-1996	Bednarski et al.	
	С	US- 5,807,758	03-20-2001	Lee et al.	
	D	US- 5,824,473	10-20-1998	Meade et al.	
	E	US- 6,087,100	07-11-2000	Meade et al.	
	F	US- 5,952,172	09-14-1999	Meade et al.	
	G	US- 6,090,933	07-18-2000	Kayyem et al.	
	Н	US- 6,144,975	11-07-2000	Harris Jr. et al.	
	1	US- 6,203,983	05-20-2001	Quate et al.	RECENT
		US-			CLIVE
		US-			NOV 9.0
		US-			
		US-			2002
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		FOREIGN PA	TENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				т6
	J	US99/25464	05-04- 2000	Bamdad et al.		
	K	US97/09739	12-11- 1997	Meade et al.		
	L	US98/12430	12-17- 1998	O'Connor et al.		
	М	US99/10104	11-11- 1999	Bamdad et al.		
	N	US99/01703	11-11- 1999	Bamdad et al.		

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¹ Applicant's unique citation designation number (optional) . ² See Kinds Codes of USPTO Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Sheet

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	-
Examiner	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
THURS	0	BERGER et al., Surface Stress in the Self-Assembly of Alkanethiols on Gold, Science, Jun. 27, 1997 Vol. 176, p. 2021	
····	Р	BERGER et al., Nanometers, Picowatts, Femtojoules: Thermal Analysis and Optical Spectroscopy Using Micromechanics, Analytical Methods & Instrumentation, Special Issue, .mu.TAS '96	
	a	GIMZEWSKI et al., Observation of a Chemical Reaction Using a Micromechanical Sensor, Chemical Physics Letters, Vol. 217, No. 5,6, Jan. 28, 1994	
	R	MINNE et al., Automated Parallel High-Speed Atomic Force Microscopy, Applied Physics Letters, Vol. 78, No. 18, May 4, 1998	
	s	MANALIS et al., Interdigital Cantilevers for Atomic Force Microscopy, Applied Physics Letters, Vol. 69, No. 25, Dec. 16, 1996	
	Т	LANG et al, Sequential Position Readout from Arrays of Micromechanical Cantilever Sensors, Applied Physics Letters, Vol. 73, p. 383, 1998	
	U	MANALIS et al., Two Dimensional Micromechanical Bimorph Arrays for Detection of Thermal Radiation, Applied Physics Letters, Jun. 16, 1997	
	V	YU et al., Molecular Wires attached to DNA Oligonucleotides for Electronic Detection of Nucleic Acids, Abstracts of Papers of the American Chemical Society, 1999, Vol. 217, No. 1, Mar. 21, 1999	i
	w	YU et al., Ribose-attached Ferrocene DNA Oligonucleotides for Electronic Detection of Nucleic Acids, Abstracts of Papers of the American Chemical Society, 1999, Vol. 217, No. 1, Mar. 21, 1999	
	x	YU et al., Uridine-conjugated Ferrocene DNA Oligonucleotides for Electronic Detection of Nucleic Acids, Abstracts of Papers of the American Chemical Society, 1999, Vol. 217, No. 1, Mar. 21, 1999	
	\ \ \ \	TERBREUGGEN et al., Electrochemical Detection of Unlabeled Target DNA, Abstracts of Papers of the American Chemical Society, 1999, Vol. 218, 1, Aug 22, 1999	

Examiner Signature	Date Considered
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		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1		
	z	KAYYEM et al., DNA Diagnostics Using ELectronic Detection, Clinical Chemistry, 1999, Vol. 45, N6,2,S, Jun. 1999	
	AA	BLACKBURN et al., Electrochemical Detection of Nucleic Acids on SAMs-Constructed Arrays, Abstracts of Papers of the American Chemical Society, 2001, Vol. 222, 2, Aug. 2001	
	AB	YU et al., Electrochemical Detection of Nucleic Acids on DNA Arrays Constructed Using Self-Assembled Monolayers, Abstracts of Papers of the American Chemical Society, 2001, Vol 221, 2, April 1, 2001	
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